Docket No. 147-25-058 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kris Kelkar

Serial No.

Filed:

Title: ADAPTIVE BEAMFORMING METHODS AND SYSTEMS THAT ENHANCE

PERFORMANCE AND REDUCE COMPUTATIONS

Mail Stop Non Fee (IDS) Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Pursuant to 37 C.F.R.§§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing of the above referenced application or before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the

documents be applied against the claims of the present application.

Respectfully submitted,

January 22, 2004

Richard S. Koppel 7/ Registration No. 26,475 Attorney for Applicant

KOPPEL, JACOBS, PATRICK & HEYBL 555 St. Charles Drive, Suite 107 Thousand Oaks, California 91360 (805) 373-0060

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Mailing Label EV229158133US in an envelope addressed to: Mail Stop Box Non Fee IDS, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313 on

Data

Christina Nicoll

***												Sile t_	<u> </u>	<u> </u>	
Form PTO-1449										Docket Number (Application Number				
										147-25-058					
INFORM			DI N A					E CITATI N	ON	Applicant Kris Kelkar					
										Filing Date		Group Art Unit			
	Use	sev	era	l sh	eet	s if	nec	cessary)							
								U.S.	PATENT	DOCUMENTS	3	-	,		
EXAMINER INITIAL	D	ocı	JME	NT	NU	МВ	ER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	6	1	3	4 2 6			1	10/17/0	Ryan			375	41		
	6	4	4	1	7	8	6	8/27/02	Jaspe	r, et al	341	383			
							L								
					<u> </u>		L								
	<u> </u>						L								
	<u> </u>	<u> </u>	_		_	<u> </u>	_						ļ		
	-					Ŀ	$oxed{oxed}$								
		_	_		ļ	<u> </u>	_						-		
								FOREIG	GN PATE	NT DOCUMEN	NTS				
·	Τ.		-										TRANSI ATION		
	DO	DOCUMENT NUMBER						DATE	(COUNTRY	CLASS	SUBCLASS	TRANSLATION		
	+	1			_	_	_	<u> </u>					YES	NO	
	-	-	_	H	_		┝		<u> </u>			<u> </u>			
	+	H		ļ		-	┝								
	1			-	-		-								
	 			-		 	H					•			
	+-					\vdash							·		
	<u> </u>		<u> </u>	<u> </u>			1	ОТН	IER DOC	UMENTS (Inc.	luding Author, Ti	tie, Date, Pertine	nt Pages, E	itc.)	
		L	. C.	G	oda	ıra	, "A	pplication	ons of An	tenna Arrays	to Mobile Co	mmunicati	ons, Par	t II,	
	Beam-Forming and Direction-of-Arrival Considerations", Proceedings of the IEE														
								5-1234, A							
										mation in OF	-	s", Purdue U	Iniversi	ty,	
			cho	οΓ	of 1	Ľſe	ctr	ical Eng	neering,	undated pape	er, pp. 1-5				
EXAMINER										DATE CONSIDERED					
EXAMINER: In citation if not in	itial conf	if c	itat nan	ion ce a	cor	nsid not	ere	d, whether nsidered. I	or not cita nclude cop	ntion is in conform by of this form wi	mance with Mith next comm	PEP§609; Dra	w line thr	rough nt.	

Form PTO-1449	***									Docket Number (Opti 147-25-058	Application Number				
INFORM.		ON AN							ON	Applicant Kris Kelkar					
(t								essary)		Filing Date	Group Art Unit				
× 	-	•					-	U.S.	PATENT	DOCUMENTS	.				
EXAMINER INITIAL	DOCUMENT NUMBER DATE									NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
-															
-		Ш		ļ		_									
				<u> </u>	-	ļ									
		\vdash		 	╁	-	_				-				
				-	-										
						-	\vdash								
					<u> </u>		ļ								
											1				
								FOREI	GN PATE	NT DOCUMENTS	S				
	DOCUMENT NUMBER						ER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION		
	 					т_	1						YES	NO	
		\vdash			H	+									
		\vdash		_	╁	+	\vdash								
	-				t	+	\vdash								
					T	\dagger							· · · · · · · · · · · · · · · · · · ·		
					T										
								ОТІ	HER DOC	UMENTS (Includi	ng Author, Ti	tle, Date, Pertine	ent Pages, L	Etc.)	
		Ch	ıer	ng,	Zì	nen	lan	, et al., "	Time vs	Frequency Doma	in Chanr	el Estimati	on for O	FDM	
		Systems with Antenna Arrays", Communication Technology Laboratory,													
		Swiss Federal Institute of Technology, pp. 1-4													
		Winters, Jack H., "Smart Antennas for Wireless Systems", IEEE Personal Communications, February, 1998, pp. 23-27													
								•	• .	·	" Spectru	m Signal P	rocecin	ਰ	
EVALUED			•			•	,, <u> </u>		on Digit	gital Beamforming", Spectrum Signal Processing,					
EXAMINER						,	-			DATE CONSIDER	ובט				